

CHAPTER I

INTRODUCTION

This chapter contains Background of the Study, Research Question and Research Purposes, Scope and Limitation of the Study, Significant of the Study, Definition of Key Term, and Organization of the Study.

A. Background of the Study

In everyday life, language is used in all communication between people. Through language, person can express themselves, adapt to their social environment, and influence how they interact with other.¹ The definition of language is a communication tool that can convey ideas, information, messages, and feelings from one people to another until it creates a good cooperation.² Not just as a communication tool, language also reflects the social and cultural norms appeared in society. Language can show the relationship between the speaker and the listener through how they address each other. For example, when people are close to each other, they tend to use their first names to address each other to show closeness. Furthermore, language can also reveal their nature, whether they are being polite or not in a conversation.³ Based on the definition above, it can be concluded that language

¹ Ayu Condro Ningrum and Izqi Tazqiyah, "Peran Bahasa Dalam Komunikasi Lintas Budaya : Memahami Nilai Dan Tradisi Yang Berbeda," *Jurnal Selasar KPI: Referensi Media Komunikasi Dan Dakwah* 4, no. 2 (2024): 2–4.

² Okarisma Mailani, "Bahasa Sebagai Alat Komunikasi Dalam Kehidupan Manusia," *KAMPRET Journal* 1, no. 2 (2022): 1–10.

³ Yunisa Monica, "Address Terms Used in the Movie Entitled 'Me Before You'" (State Islamic Institute (IAIN) of Tulungagung, 2020), 2.

in society is not only act as a communication tool but also act like a dissector of social status and the relationship between the speaker and the listener through how they address each other in conversation. A relationship between the speaker and the listener can be seen without further explanation, whether they are close or hostile through how they addressing each other. From the explanation above, the way of addressing people becomes crucial in society to avoid misunderstandings.

Seeing how crucial terms of address are in society, it's important to know what terms of address is. The way people address each other is called by addressing terms. Address terms are about the type of name that uses to call another person, depend on understanding of that person (such as age and family background) and the circumstances of the encounter.⁴ Addressing terms occur in a conversation that involving a speaker (addresser) and a listener (addressee). The choices of addressing terms also depend on the relationship between the individuals, their social status, the situation, and the purpose of the conversation.⁵ In a simple way, terms of address is a term that used to call someone in a conversation and language user must understand the social context or the situation when choosing addressing term. Based on the summary, it can be seen that addressing terms not just appeared randomly but its emergence is also caused by several considerations such as the addressee, the

⁴ Yustika Amelia and Rosyida Ekawati, "The Use of Address Terms in Fantastic Beasts and Where To Find Them Movie," *CaLLs (Journal of Culture, Arts, Literature, and Linguistics)* 7, no. 2 (2021): 133, <https://doi.org/10.30872/calls.v7i2.5444>.

⁵ Monica, "Address Terms Used in the Movie Entitled 'Me Before You,'" (State Islamic Institute (IAIN) of Tulungagung, 2020), 2.

situation, and the purpose of the conversation. Therefore, addressing terms are dynamic and adaptive based on the context, it means that the same terms can be applied in different context.

As previously discussed, terms of address are crucial in communication because it's also playing an important role in building social relationships, expressing meaning, and making communication more effective.⁶ Based on the reason, learning terms of address is important to avoid misunderstandings in interactions. To use inappropriate terms such as accidentally use first name to address a boss in a formal meeting can lead an addresser being labeled as impolite. Therefore, studying terms of address required more than just a brief understanding of their meaning, but also adapting them to the context in which they are used. This aligns with Cohen's opinion, which states that a good understanding comes from understanding the context.⁷ Learners can learn about understanding this context through a medium called a novel, this is because a novel is a media which represent the situation of native speakers and how they interact. Lazar said that novel not only contained a stiff dialogue but also contain social and cultural background and also the emotions of the characters inside, so the readers would be able to understand about what happen

⁶ Xinyue Fu and Zhanfang Li, "A Review of Linguistic Studies of Address Terms," *Research Journal of English Language and Literature (RJELAL)* 11, no. 4 (2023): 61–68, <https://doi.org/10.33329/rjelal.11.4.61>.

⁷ Andrew D Cohen, "Developing The Ability to Perform Speech Acts," *Studies in Second Language Acquisition (SSLA)* 18, no. 2 (1996): 253–67, <https://doi.org/https://doi.org/10.1017/S027226310001490X>.

in the interactions.⁸ Novel usually contains dialogue and narrative which load information about how the characters feel, which the dialogue occurs, and when it occurs, the use of certain terms such as terms of address in a certain context can be learned more easily with this detail.

A novel that provides a unique context is "Of Mice and Men" novel. It is called unique because the background of this novel is in the Great Depression America era, where take a place and time in the low society that are harsh and cruel for someone who weak, the language used is quite harsh compared to modern novels that take the theme of the current era which is more relaxed. If the language used is harsh, then the way of addressing the character is also affected, for example when the researcher found a term like "Crazy Bastard" conveyed by George to Lennie. "Crazy bastard" is actually a term which has a negative meaning which the addresser curses the addressee harshly by calling him crazy, but because George is Lennie's best friend, this term is no longer an insult that makes Lennie hurt when hearing it because the context is used to a close friend. This prove that in a different context, a harsh term could also mean as an expression of affection. The function of addressing terms change from showing hatred to an expression of affection which packaged through a curse.

So far, terms of address have been studied by several researchers, the research were: Monica in "Address Terms Used in the Movie Entitled 'Me Before You.'"; Ventalia & Jusmaya in "Analysis of Address Terms in Justice

⁸ Gillian Lazar, *Literature and Language Teaching: A Guide for Teachers and Trainers*, ed. Marion Williams and Tony Wright, 17th print (United Kingdom: Cambridge University Press, 1993), <https://doi.org/https://doi.org/10.1017/CBO9780511733048>.

League Movie.”; Proborini in “An Analysis of Addressing Terms in A Star is Born Movie Script: A Sociolinguistic Perspective”; Amelia & Ekawati “The Use of Address Terms in Fantastic Beasts and Where To Find Them Movie,” which focused on the analysis of terms of address in movies. Furthermore, the previous research focused on examining the terms of address in movie to find out what types of terms appeared. In addition to examining the types of terms of address that appeared, the previous research also examined social factors affected.⁹ The social factors were particular occasions, social status, gender, age, family relationships, occupational hierarchy, transactional status which were the reasons for choosing certain terms toward the addressee.¹⁰ In contrast, Proborini examined the types and three reasons for addressing addressee with certain terms, namely to show intimacy, power differential, and equality or respect.¹¹ In line with Proborini’s research which examine the types and the functions, Ventalia & Jasmaya also examine the same aspect such as the type and the functions reflected in the use of addressing terms.¹²

In addition to examining terms of address in movies, the researcher also found other research that conducted on social media, the research written by Andikha & Ambalegin in “Address Terms Analysis in the ‘Gridiron Gang’

⁹ Monica, “Address Terms Used in the Movie Entitled ‘Me Before You.’” (State Islamic Institute (IAIN) of Tulungagung, 2020), 1-115.

¹⁰ Amelia and Ekawati, “The Use of Address Terms in Fantastic Beasts and Where To Find Them Movie.” *CaLLs (Journal of Culture, Arts, Literature, and Linguistics)* 7, no. 2 (2021): 133, <https://doi.org/10.30872/calls.v7i2.5444>.

¹¹ Monita Puspahari, “An Analysis of Addressing Terms in a Star Is Born Movie Script : A Sociolinguistic Perspective” (Muhammadiyah University of Surakarta, 2020).

¹² Fitri Ventalia and Ance Jasmaya, “Analysis of Address Terms in Justice League Movie,” *Jurnal Basis* 7, no. 2 (2020): 257–68, <https://doi.org/10.33884/basisupb.v7i2.2427>.

Movie: The Types and the Functions,”; Giyatmi & Astuti in "Address Terms Used in Discount Account Found in Instagram". In more detail, the previous research carried out by Andikha & Ambalegin observed the use of terms of address on Instagram to reveal the types of terms of address that are frequently used.¹³ Other research that carried out on Instagram was Giyatmi & Astuti's research, and the finding of the research was slightly different because the types of addressing terms found are kinship, pet names (with product names, company names, brands, account names), pronouns, and slang.¹⁴ Seeing the previous researchers who focused on examining terms of address in movies and social media that still provide limited context, a further research is needed to examines terms of address in media that can provide a more detailed context in the form of narratives such as in novels. The researcher also briefly found that previous studies only examined the types of address that appeared with their factors or reasons alone, and did not combine the three directly, namely examining the types of address that appeared, the factors and reasons behind the choice of these types. The background of the objects studied by previous researchers also depicts a modern background, especially in research on social media which has a relaxed and calmer background, the same as movies with a romantic and adventure background which gives a pleasant impression.

¹³ Edi Agung Andhika and Ambalegin, "Address Term Used by the Instagram Users," *Journal of Language and Literature* 8, no. 2 (2022): 227–34, <https://doi.org/https://doi.org/10.30812/humanitatis.v8i2.1710>.

¹⁴ Giyatmi and Purwani Indri Astuti, "Address Terms Used in Discount Account Found in Instagram," *Journal Humanika* 30, no. 2 (2023): 247–58.

Based on the gap of the research, the researcher found an interesting aspect that could be studied, namely novel that provide a detailed context such as how the characters feel, how the characters are portrayed, and how the relationships between one character and another. The researcher decided to choose a novel that has a different background from several previous studies, namely a novel set in the Great Depression Era in America. This background gives a cruel and touching impression because the characters lived in lower society. In Great Depression Era, looking for a job was hard. Luckily, George Milton and Lennie Small who were the main characters in this novel, were able to get work as a laborer in new ranch. The touching part was George still tried to protect and look after Lennie when they lived in an area where the weak, Lennie, the cause of trouble because of his innocence was oppressed and hated. This difference made the types of terms of address used by the characters in this novel would be different from previous researches. Through Wardhaugh's theory, the researcher wanted to reveal the types and social factors behind the use of the addressing terms, and with the help of Chaika's theory, the researcher wants to prove that pattern of addressing terms could be different in several social background.

Based on the explanation above, the phenomenon found in the novel makes this research important to be carried out so that a new portrait of addressing terms could be formed. Another importance of this research was also to reduce misunderstandings about the function of certain terms, addressing someone which was originally intended to show intimacy was not

misunderstood as something that indicated distance or a power difference. This research was also important because it provided valuable insights for language users who want to learn the use of terms of address in appropriate contexts. This research was also expected to contribute to the field of sociolinguistics about terms of address used in lower society, for this reason the researcher finally conducted a study with the title *“Terms of Address Used by The Characters in “Of Mice and Men” Novel Written by John Steinbeck”*

B. Research Questions

Based on the background of the study above, the problem formulation in this research are:

1. What types of terms of address are used in the “Of Mice and Men” novel?
2. How do the terms of address reflect social factors in the “Of Mice and Men” novel?
3. What function do the terms of address serve in the “Of Mice and Men” novel?

C. Research Objectives

The objectives of this research are:

1. To identify the types of terms of address that are used in the “Of Mice and Men” novel;
2. To analyze how do the terms of address reflect social factors in the “Of Mice and Men” novel;

3. To explain what function, do the terms of address serve in the “Of Mice and Men” novel.

D. Scope and Limitations of The Study

1. Scope

The object of this research was the original English version of John Steinbeck's novel “Of Mice and Men” that published by Penguin Books in 1993. This research focused on the types of terms of address used by the characters, the social factors that influence their use and its function. The data would be presented in the form of descriptions containing dialogues between characters in the novel, Of Mice and Men that contained terms of address.

2. Limitation

This study has several limitations, such as being limited to one novel written in its original language, namely English. It did not include translations or other adapted novels from edition. It focused on analyzing the terms of address found in the conversations between the characters in the novel and would not analyze terms of reference where a character talks about another character behind their back except as supporting data to clarify the context of the use of addressing terms. It focused on verbal analysis, not non-verbal such as gestures or phonetics. This goal was to ensure the study remains focused on its research objectives and minimize the risk of misunderstanding. The application of the research finding and everything related to this research was also limited to the Of Mice and Men novel,

limited to certain cultures such as English culture, and still could not be applied widely to all aspects.

E. The Significance of The Study

1. Theoretical

This research was expected to contribute to the field of sociolinguistics regarding how terms of address were used in literary works such as novels, as well as enrich the theory of social factors regarding the use of terms of address in fictional writing. The researcher's reason for being interested in analyzing *Of Mice and Men* novel was because it deals with social problems frequently faced by humans in general, carrying the theme that raised the issue of social differences in the 1939 era.¹⁵ This background would later produce new data that could complement sociolinguistics research on the topic of terms of address.

2. Practical

This research was expected to assist English learners or anyone who want to learn terms of address deeper from literary works. This research was also expected to be able to become a reference which can be used as new learning material regarding the use of language in a social context. It was also expected to open up opportunities for future researchers who interested in exploring similar topics.

¹⁵ Evyta AR, *Of Mice and Men* oleh John Steinbeck(Covici Friede, 2013), <https://lensabuku.com/of-mice-and-men-oleh-john-steinbeck/>.

F. Definition of Key Terms

1. Terms of Address: Address terms describe the type of name that chosen to call another person, depending on the understanding of that person.¹⁶ More simply, terms of address are types of names that we choose to call another person. This name depends on the relationship or situation of the meeting. It can be influenced by age, relationship, background, position, and situation.
2. Types of Terms of Address: Variations in terms of address given from one person to another, such as: first name (FN), title plus last name (TLN), title (T), last name (LN), pet name (PN), kinship term (KT), special nickname (SN).¹⁷
3. Social Factors: Factors that influence how someone think and act in society when using specific type of addressing terms. Some social factors include: gender, age, occupational hierarchy, social status, family relationship, degree of intimacy, and transactional status.¹⁸
4. Function of Terms of Address: Reason behind the use of addressing terms. The function was to show intimacy, power differential, and respect.¹⁹ Giving someone a type of address would vary based on its function.

¹⁶ Amelia and Ekawati, "The Use of Address Terms in Fantastic Beasts and Where To Find Them Movie," *CaLLs (Journal of Culture, Arts, Literature, and Linguistics)* 7, no. 2 (2021): 133, <https://doi.org/10.30872/calls.v7i2.5444>.

¹⁷ Adinda Amalia Rahma, "Analysis of Addressing Terms in Enola Holmes 2 Film" (UIN Sunan Ampel Surabaya, 2022).

¹⁸ Rahma, "Analysis of Addressing Terms in Enola Holmes 2 Film" (UIN Sunan Ampel Surabaya, 2022).

¹⁹ Arinda Fatika Sari, "Address Terms Used in Aristocratic Movie: A Sociolinguistic Study" (Muhammadiyah University Of Surakarta, 2020), <https://doi.org/http://eprints.ums.ac.id/id/eprint/86249>.

5. Novel: A literary work that generally depicts the realities of human life, both within the environment and with other people.²⁰ Novel typically depicts the problems faced by humans that spiced up with the author's ideas, thoughts, and creativity.

G. Organization of Study

This research was organized into five chapter so this research was systematic and the conclusion could be read clearly. A brief overview of each chapter as follows:

1. Chapter I: Introduction

This chapter contained background of the study, research question and research purposes, scope and limitation of the study, significant of the study, definition of key term, and organization of the study. This chapter contains information about the topic conducted in this research and the reasons why the researcher chose this topic.

2. Chapter II: Review of Related Literature

This chapter contained several theories that relevant to the topic such as theory of sociolinguistic about addressing terms, theory of social factor of addressing terms, and function of terms of address. This chapter also discusses previous studies which make it easier for the researcher to show the gap between previous research and the novelty in this research.

²⁰ Ridha Ilahi, Nesilanti, and Faisal, "Social Reality in The Novel CADL By Triskaidekaman : Antonio Gramsci Hegemonic ' s Study," *INSIGHT : Indonesian Journal of Social Studies and Humanities* 1, no. 2 (2021): 294–306.

3. Chapter III: Research Method

This chapter discussed research design, subject of the study, subject selection, data and data source, research instrument, method of data collection, data analysis, and trustworthiness which would be used to make manage data through systematic steps.

4. Chapter IV: Research Finding

This chapter showed the finding of the research. Selected findings would be explained for clarity and strength. The researcher would only present data that can represent the other findings.

5. Chapter V: Research Discussion

This chapter contained the discussion of the research based on the previous chapter. This chapter provided more explanation and support about research findings related to the use of Addressing Terms found in the Of Mice and Men novel.

6. Chapter V: Conclusion and Suggestion

This chapter contained the conclusions from the previous chapter, which would answer the research questions of this study. This chapter would also present suggestion for anyone involved in this research.